

07/16/03

04772 U.S. PTO

21908 U.S. PTO

10/621693

07/16/03

<p align="center">UTILITY PATENT APPLICATION TRANSMITTAL</p> <p>(Only for new nonprovisional applications under 37 C.F.R. §1.53(b))</p>	Attorney Docket No.	GNT-00101.P.1-US
	First Inventor or Application Identifier	BUSSELL, Stuart
	Title	Methods to Construct Multimeric DNA and Polymeric Protein Sequences as Direct Fusions or with Linkers
	Express Mail Label No.	EL 892181507 US
<p align="center">APPLICATION ELEMENTS</p> <p>See MPEP chapter 600 concerning utility patent application contents</p>	<p>ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p>	
<p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages of Application [190] having [1] title page; [146] pages of disclosure; [20] pages of claims; [1] page abstract and [22] pages of drawings]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [22]]</p> <p>4. Oath or Declaration</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (For continuation/divisional with Box 16 completed)</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)</p> <p>a. <input checked="" type="checkbox"/> Computer Readable Copy</p> <p>b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</p>		

ACCOMPANYING APPLICATION PARTS

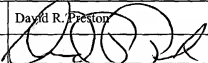
7. ☐ Assignment Papers (cover sheet & documents(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)
- ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Small Entity Assertions
☐ Statement filed in prior application. Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
15. ☒ Other:
Certificate of Mailing by Express Mail No. EL 892181507 US
Cover Letter
Check No. 2375 for \$ 624.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) Of prior application No:
Prior application Information: Examiner _____ Group Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion as been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE INFORMATION

<input checked="" type="checkbox"/> Customer Number 24232 or Bar Code Label [] or			
<input type="checkbox"/> Correspondence address below			
Name	David R. Preston & Associates A.P.C.		
Address	12625 High Bluff Drive, Suite 205		
City, State and Zip Code	San Diego, California 92130		
Country	USA		
Telephone	858.724.0375		
Facsimile	858.724.0384		
Name	David R. Preston	Registration Number	38,710
Signature		Date	8/16/03

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 624.00)

Complete if Known

Application Number To be determined
 Filing Date Herewith
 First Named Inventor BUSSELL, Stuart
 Examiner Name To be determined
 Art Unit To be determined
 Attorney Docket No. GNT-00101.P.1-US

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 501321

Deposit Account Name David R. Preston

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	2001	750	375	Utility filing fee	375.00
1002	2002	330	165	Design filing fee	
1003	2003	520	260	Plant filing fee	
1004	2004	750	375	Reissue filing fee	
1005	2005	180	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 375.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
43	-20** = 23	x 9	207
4	-3** = 1	x 42	42
Independent Claims			
Multiple Dependent			

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202	1802	84	42	Claims in excess of 20	
1201	1801	84	42	Independent claims in excess of 3	
1203	1803	280	140	Multiple dependent claims, if not paid	
1204	1804	84	42	** Reissue independent claims over original patent	
1205	1805	18	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 249.00)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	2053	130	65	Non-English specification	
1812	2812	2,520	1,260	For filing a request for <i>ex parte</i> reexamination	
1804	2804	920*	460	Requesting publication of SIR prior to Examiner action	
1805	2805	1,840*	920	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	410	205	Extension for reply within second month	
1253	2253	930	465	Extension for reply within third month	
1254	2254	1,450	725	Extension for reply within fourth month	
1255	2255	1,970	985	Extension for reply within fifth month	
1401	2401	320	160	Notice of Appeal	
1402	2402	320	160	Filing a brief in support of an appeal	
1403	2403	280	140	Request for oral hearing	
1451	2451	1,510	755	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,300	650	Petition to revive - unintentional	
1501	2501	1,300	650	Utility issue fee (or reissue)	
1502	2502	470	235	Design issue fee	
1503	2503	630	315	Plant issue fee	
1460	2460	130	65	Petitions to the Commissioner	
1807	2807	50	25	Processing fee under 37 CFR 1.17(q)	
1806	2806	180	90	Submission of Information Disclosure Stmt	
8021	4021	40	20	Recording each patent assignment per property (times number of properties)	
1809	2809	375	187	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	375	187	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	375	187	Request for Continued Examination (RCE)	
1802	2802	900	450	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$ 0.00)

SUBMITTED BY

Name (Print/Type) David R. Preston

Signature

Registration No. (Attorney/Agent)

38,710

(Complete if applicable)

Telephone 858-724-0375

Date

7/17/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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VIA EXPRESS MAIL

Mail Stop Patent Application

"Express Mail" Mailing Label Number: EL 892181507 US

Date of Deposit: July 16, 2003

Commissioner for Patents
Alexandria, VA 22313-1450

Re:	Utility Patent Application
Entitled:	Methods To Construct Multimeric DNA and Polymeric Protein Sequences as Direct Fusions or With Linkers
Appl. No.:	To be determined
Filed:	Herewith
Inventor:	BUSSELL, Stuart
Our Ref.:	GNT-00101.P.1-US

Sir:

The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:

1. Utility Patent Application Transmittal (in duplicate);
2. Fee Transmittal (in duplicate);
3. Declaration for Utility Patent Application;
4. Complete U.S. Patent Application entitled:

Methods to Construct Multimeric DNA and Polymeric Protein Sequences as Direct Fusions or with Linkers

and naming as inventor
Stuart Bussell

David R. Preston & Associates, A.P.C.
DRPNA®

www.drpna.com
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facsimile: 858.724.0384

the utility application comprising:

- i. Total pages of application: [190]
 - ii. Pages of specification: [146];
 - iii. Pages of Figures: [22] Number of Figures: [23];
 - iv. Pages of Title Page: [1];
 - v. Pages of Abstract: [1];
 - vi. Pages of Claims: [20]; [132] Claims.
5. Statement under 37 CFR 1.821-1.825
 6. Paper copy of Sequence Listing;
 7. Diskette containing Sequence Listing;
 8. **Preliminary Amendment;**
 9. Check No. 2375 in the amount of \$ 624.⁰⁰ to cover the filing fee;
 10. Certificate of mailing by Express Mail No. EL 892181507 US and
 11. One Return Post Card.

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

Please apply any charges not covered, or any credits, to **Deposit Account 501321 in the name of David R. Preston & Associates A.P.C. having Customer No.: 24232.**

The following attorney is the attorney of record for prosecuting this application and transacting all business in the USPTO connected therewith:

David R. Preston, Esquire
Registration No. 38,710

Please send all correspondence and direct all telephone calls to:

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Respectfully submitted,
DAVID R. PRESTON & ASSOCIATES A.P.C.



David R. Preston
Attorney for Applicant
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